

O I P E J C B S  
FEB 22 2002  
PATENT AND TRADEMARK OFFICE

COPY OF PAPERS  
ORIGINALLY FILED

1762  
#11

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

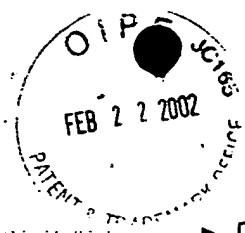
<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/678,266
	Filing Date	Oct 3, 2000
	First Named Inventor	Xi, Ming
	Group Art Unit	1762
	Examiner Name	Unassigned
Total Number of Pages in This Submission	Attorney Docket Number	4714p1/AMI-11

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) please identify below: 1. Request to Withdrawal as Attorney form with supporting e-mails 2. Return Receipt Postcard to Kenneth C. Brooks
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Law Office of Kenneth C. Brooks
Signature	<i>Kenneth C. Brooks</i>
Date	February 7, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: <u>2-7-02</u>			
Type or printed name	Jennifer Bonham		
Signature	<i>Jennifer Bonham</i>	Date	February 7, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



COPY OF PAPERS  
ORIGINALLY FILED

Please type a plus sign (+) inside this box ☒

PTO/SB/83 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0035  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>REQUEST FOR WITHDRAWAL AS ATTORNEY OR AGENT</b>	Application Number	09/678,266
	Filing Date	October 3, 2000
	First Named Inventor	Ming Xi
	Group Art Unit	1762
	Examiner Name	Unassigned
	Attorney Docket Number	4714p1/AMI-11

To: Assistant Commissioner for Patents  
Washington, DC 20231

I hereby apply to withdraw as attorney or agent for the above identified patent application.

The reasons for this request are:

The client has instructed me to cease all work on the above-identified patent application and transfer all files in my possession, concerning this patent application, to other counsel. Please see attached e-mail. As a result, I no longer have authority to act in this patent application.

RECEIVED

MAY 29 2002

1. ☐ The correspondence address is NOT affected by this withdrawal.
2. ☒ Change the correspondence address and direct all future correspondence to:

<b>CORRESPONDENCE ADDRESS</b>			
<input type="checkbox"/> Customer Number	<input type="text"/>	Place Customer Number Bar Code Label here	
OR			
<input checked="" type="checkbox"/> Firm or Individual Name			
Address			
Address			
City	State	ZIP	
Country			
Telephone	713-623-4844	Fax	713-623-4846
This request is enclosed in triplicate.			
Name	Kenneth C. Brooks		
Signature	<i>Kenneth C. Brooks</i>		
Date	February 7, 2002		

NOTE: Withdrawal is effective when approved rather than when received.  
Unless there are at least 30 days between approval of withdrawal and the expiration date of a time period for response or possible extension period, the request to withdraw is normally disapproved.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECEIVED  
MAR 15 2002  
TECHNOLOGY CENTER 2800

RECEIVED  
APR 25 2002  
TECHNOLOGY CENTER 2800

RECEIVED  
MAR 01 2002  
TC 1700  
APR 22 2002  
TC 1700



COPY OF PAPERS  
ORIGINALLY FILED

Please type a plus sign (+) inside this box ☒

PTO/SB/83 (08-00)

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REQUEST FOR WITHDRAWAL  
AS ATTORNEY OR AGENT**

Application Number	09/678,266
Filing Date	October 3, 2000
First Named Inventor	Ming Xi
Group Art Unit	1762
Examiner Name	Unassigned
Attorney Docket Number	4714p1/AMI-11

To: Assistant Commissioner for Patents  
Washington, DC 20231

I hereby apply to withdraw as attorney or agent for the above identified patent application.

The reasons for this request are:

The client has instructed me to cease all work on the above-identified patent application and transfer all files in my possession, concerning this patent application, to other counsel. Please see attached e-mail. As a result, I no longer have authority to act in this patent application.

- ☐ The correspondence address is NOT affected by this withdrawal.
- ☒ Change the correspondence address and direct all future correspondence to:

**CORRESPONDENCE ADDRESS**

☐ Customer Number

OR

☒ Firm or  
Individual Name

Place Customer Number  
Bar Code Label here

Address

Address

City

State

ZIP

Country

Telephone

713-623-4844

Fax

713-623-4846

This request is enclosed in triplicate.

Name

Kenneth C. Brooks

Signature

Date

February 7, 2002

NOTE: Withdrawal is effective when approved rather than when received.

Unless there are at least 30 days between approval of withdrawal and the expiration date of a time period for response or possible extension period, the request to withdraw is normally disapproved.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



COPY OF PAPERS  
ORIGINALLY FILED

RECEIVED

MAR 15 2002

Please type a plus sign (+) inside this box → ☒

PTO/SB/83 (08-00)

Approved for use through 10/31/2002. OMB 0651-0035  
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## REQUEST FOR WITHDRAWAL AS ATTORNEY OR AGENT

Application Number	09/678,266
Filing Date	October 3, 2000
First Named Inventor	Ming Xi
Group Art Unit	1762
Examiner Name	Unassigned
Attorney Docket Number	4714p1/AMI-11

To: Assistant Commissioner for Patents  
Washington, DC 20231

I hereby apply to withdraw as attorney or agent for the above identified patent application.

The reasons for this request are:

The client has instructed me to cease all work on the above-identified patent application and transfer all files in my possession, concerning this patent application, to other counsel. Please see attached e-mail. As a result, I no longer have authority to act in this patent application.

- ☐ The correspondence address is NOT affected by this withdrawal.
- ☒ Change the correspondence address and direct all future correspondence to:

### CORRESPONDENCE ADDRESS

☐ Customer Number

OR

☒ Firm or  
Individual Name

Address

Address

City

State

ZIP

Country

Telephone

713-623-4844

Fax

713-623-4846

This request is enclosed in triplicate.

Name

Kenneth C. Brooks

Signature

Date

February 7, 2002

NOTE: Withdrawal is effective when approved rather than when received.

Unless there are at least 30 days between approval of withdrawal and the expiration date of a time period for response or possible extension period, the request to withdraw is normally disapproved.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECEIVED  
MAR 01 2002

TC 1700

Place Customer Number  
Bar Code Label here

RECEIVED  
APR 22 2002

TC 1700

TECHNOLOGY CENTER 2800

APR 25 2002

RECEIVED



COPY OF PAPERS  
ORIGINALLY FILED

RECEIVED

MAR 15 2002  
TECHNOLOGY CENTER 2800

**Kenneth C. Brooks**

From: Ben\_Glenn@amat.com  
Sent: Thursday, January 31, 2002 12:19 PM  
To: Kenneth C. Brooks  
Cc: Ben Glenn; Donald McKenna; tpatterson@mpsllp.com; Donald\_VerPlancken@amat.com  
Subject: Transfer of ALD Cases

Ken,

Effective immediately, cease all work on any Applied Materials case related to atomic layer deposition or cyclic deposition. Close all files and ship them to Todd Patterson, C/O Moser, Patterson and Sheridan, 3040 Post Oak Blvd., Suite 1500, Houston, Tx, 77056-5582.

Cases filed, in any form of preparation or in prosecution are to be transferred. Conduct a search of your own records to ensure a complete transfer. A report from our docketing system will be generated listing all cases assigned to you in order to ensure a complete transfer of all cases.

Please complete this transfer by in a timely fashion and in no event should the files be in the Houston office of Moser, Patterson and Sheridan later than the the close of business on Tuesday, February 5, 2002.

Thank you for your assistance and prompt attention to this matter.

Benjamin Glenn  
ALD Counsel  
Applied Materials, Inc.

RECEIVED

MAR 01 2002

TC 1700

"Kenneth C. Brooks" <patentsrus@earthlink.net> on 01/31/2002 09:09:35 AM

To: "Ben Glenn" <Ben\_Glenn@amat.com>  
cc: "Donald McKenna" <dmckenna@dergnoah.com>  
Subject: IDS Information wrt 4714

RECEIVED

APR 22 2002

TC 1700

Dear Ben:

I spoke with Mr. McKenna concerning the Japanese material he provided to me. This is the material from a search originated by Mr. Patterson of Moser, Patterson & Sheridan. Mr. McKenna noted that you wanted all this material made of record in the 4714 patent application. Mr. McKenna also stated that I should hold-off on submission of an IDS at this point as you had requested that he supplement this information with an additional Dialog search. Should I hold-off for this new material or go forward with filing of an IDS and interview the examiner?

Sincerely,

RECEIVED

APR 25 2002

TECHNOLOGY CENTER 2800

Kenneth C. Brooks  
Law Office of Kenneth C. Brooks  
P.O. Box 10417  
Austin, Texas 78766-1417  
voice 512 527-0104  
facsimile 512 527-0107

FEB 22 2002

PRIVILEGED

THIS MESSAGE MAY CONTAIN ATTORNEY-CLIENT PRIVILEGED INFORMATION THAT IS INTENDED FOR PERSONAL AND CONFIDENTIAL USE OF THE RECIPIENT(S) INDICATED ABOVE. If you are not the intended recipient identified within this message, please immediately notify the sender and immediately delete this message and any copies thereof. Thank you.

COPY OF PAPERS  
ORIGINALLY FILED

RECEIVED  
MAR 01 2002  
TC 1700

RECEIVED  
APR 22 2002  
TC 1700

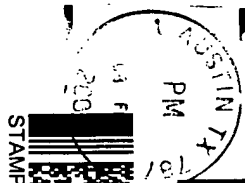
Law Office of Kenneth C. Brooks  
PO Box 10417  
Austin, TX 78766

RECEIVED  
MAR 15 2002  
TECHNOLOGY CENTER 2800

COPY OF FAX  
ORIGINALLY FILED

XXXXXXXXXXXXXXXXXXXXXXXXXXXX  
BOX PATENT APPLICATION  
Commissioner for Patents  
Washington DC 20231-0001

RECEIVED  
APR 25 2002  
TECHNOLOGY CENTER 2800



STAMPS.COM

06250000819288

stamps  
\$0.340  
FEB 07 2002  
US POSTAGE  
FIRST CLASS MAIL  
AUSTIN, TX 78759